ED STATES PATENT AND TRADF ARK OFFICE Applicant(s): David Ian FLYNN, Attorney Docket No.: 18240/Mathys Application No.: 10/014,387 JUL 1 2 2002 Filed: December 11, 2001 Group Art Unit: Title: FILTERED CATHODE ARC SOURCE **DEPOSITION APPARATUS** RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION **Assistant Commissioner for Patents** Washington, D.C. 20231 Attention: Box Missing Parts Sir: This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the aboveidentified application. **(X)** Three (3) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration. Submission of Declaration & Petition Under Rule 47(a) **(X)** RECEIVED **(X)** Declaration Under Rule 47(a) of Alan Israel. JUL 1 8 2002 **(X)** Missing Parts Surcharge \$130.00. OFFICE OF PETITIONS Petition Fee of Rule 17(h) for \$130.00. **(X) (X)** A Petition for Extension of Time for reply to Notice of Missing Parts is attached. one month (\$110.00) **RECEIVED** two months (\$400.00) () JUL 1 8 2002 three months (\$920.00) **(X)** four months (\$1,440.00) OFFICE OF PETTIONS Respectfully submitted, 07/17/2002 ANDNDAF1 00000029 10014387 I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 337 912 525 US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. Alan Israel Date of Deposit: July 12, 2002 Attorney for Applicant(s) Reg. No. 27564 Alan ISRAEL Typed Name: Date: July 12, 2002 Signature: Telephone No.: 212-697-3750

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Tademarks, Washington, D.C. 20231, on:

July 12, 2002

(date)

Alan Israel Reg. No. 27,564

In re: Application of

David Ian FLYNN, et al.

Serial No.

10/014,387

Group Art Unit:

Filed

December 11, 2001

Examiner:

For

FILTERED CATHODE ARC SOURCE

DEPOSITION APPARATUS

New York, New York July 12, 2002

SUBMISSION OF DECLARATION & PETITION UNDER RULE 47 (a)

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

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Attached hereto for filing in this application are three executed patent declarations signed by four of the inventors and on behalf of a missing fifth inventor, a copy of the Notice to File Missing Parts, the late filing surcharge of Rule 16(l) of \$130.00, the petition fee of Rule 17(h) of \$130.00, this Petition and a declaration under Rule 47(a).

07/17/2002 AWDNDAF1 00000029 10014387

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OFFICE OF PETITIONS

Petition is hereby made for a three-month extension of the period to respond to the outstanding Notice to File Missing Parts to July 12, 2002. A check in the amount of \$920.00, as the Petition fee for the time extension, is enclosed herewith. If there are any additional charges, or any overpayment, in connection with the filing of these documents, the Commissioner is hereby authorized to charge any such deficiency, or credit any such overpayment, to Deposit Account No. 11-1145.

Wherefore, a favorable action is earnestly solicited.

Respectfully submitted,

KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.

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Alan Israel

Reg. No. 27,564



United States Patent





APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/014,387

12/11/2001

David Ian Flynn

GWS/18240CIP

CONFIRMATION NO. 1379

FORMALITIES LETTER

Kirschstein, Ottinger, Israel & Schiffmiller, P.C. 489 Fifth Avenue New York, NY 10017-6105

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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07/17/2002 AWDNDAF1 00000029 10014387

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